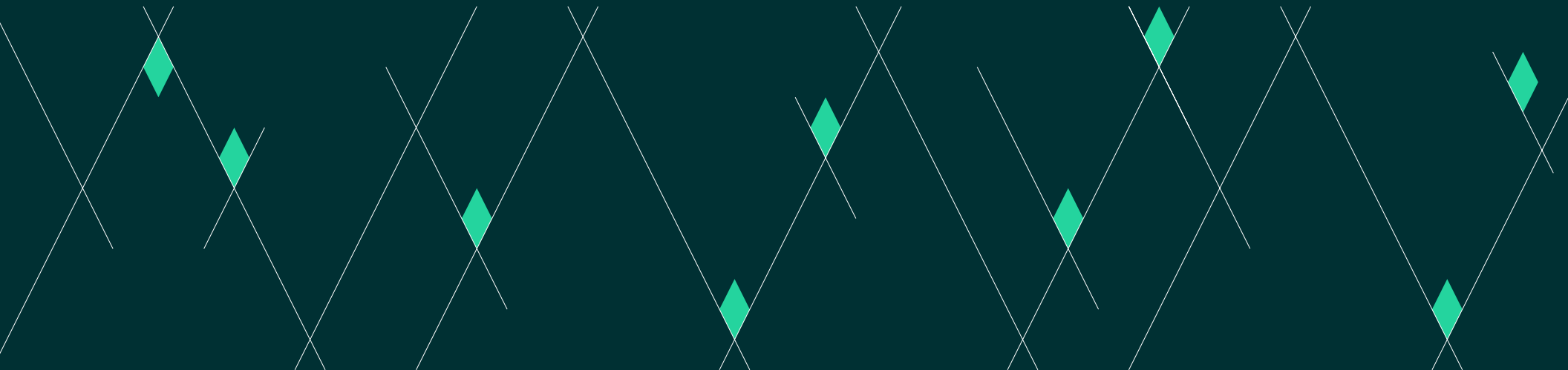




SERVICES IN SUMMARY

# Regulatory



# Regulatory



As a leading regulatory and legal talent partner, we provide permanent, contract, and multi-hire talent solutions from our hubs all over the world. In addition to efficiently placing in-demand professionals, organizations that work with us benefit from our consultants' unparalleled expertise, whether you need support upskilling your workforce, streamlining your hiring processes, or salary and compensation benchmarking.

At Larson Maddox, we're committed to partnering with companies to ensure they secure high-level regulatory and legal professionals. We specialize in identifying high-caliber regulatory and compliance talent that protect consumers, clients, and companies alike. Our clients and candidates work tirelessly, keeping markets fair and transparent, business' reputations intact, and ensuring a culture of compliance remains at the forefront of the industry.

“

*Having worked with various search firms serving the legal and compliance industry, my consultant, and Larson Maddox as a firm, have been great to work with. My consultant was adept at serving our needs, while also being realistic, which is a special skill not all possess in this space. My consultant goes above and beyond with both their relationships for the best outcomes and ongoing advice.*

**Head of Compliance,**  
Global Asset Management Firm

## KEY FOCUS AREAS

- ◆ Investment Management
- ◆ Asset Management
- ◆ Wealth Management
- ◆ Capital Markets
- ◆ Proprietary Trading
- ◆ Commercial Banking
- ◆ Investment Banking
- ◆ Insurance/Reinsurance
- ◆ Crypto & Digital Assets

## COMPANY SPECIALISMS

- ◆ Hedge Funds, Investment Management Firms
- ◆ RIAs
- ◆ Private Equity, BDC, Private Credit, Venture Capital
- ◆ Investment Banks & M&A
- ◆ Broker Dealer & Wealth Management
- ◆ Consumer Banking and Lending
- ◆ Commercial Banking
- ◆ Fintech & Cryptocurrency
- ◆ REIT

## ROLE EXPERTISE

- ◆ Chief Compliance Officer
- ◆ Head of Financial Crimes
- ◆ Advisory Compliance
- ◆ Compliance Testing & Monitoring
- ◆ Head of Payments Compliance
- ◆ Supervision Compliance
- ◆ Regulatory Affairs & Change Management
- ◆ Control Room Compliance
- ◆ Compliance Associate
- ◆ Surveillance Associate

# Notable Placements

## USA -

### Regulatory



#### CALIFORNIA

Director of Compliance  
*Healthcare and Technology Company*

Ethics and Compliance Senior Manager  
*Global Manufacturing Company*

Head of Payments Compliance  
*Global Social Media and E-Commerce Platform*

Chief Compliance Officer  
*Real Estate Private Equity Firm*

Global Head of Tax  
*Public Technology Company*

Chief Compliance Officer and Senior Counsel  
*Biotech Private Equity Firm*

#### MISSOURI

Senior Compliance Officer  
*Boutique Investment Firm*

Vice President of Investment Compliance and Accounting  
*Global Reinsurance Organization*

#### ARIZONA

Deputy Chief Compliance Officer  
*National Wealth Management Firm*

Head of Compliance  
*Insurance Firm*

#### OKLAHOMA

Chief Compliance Officer  
*Wealth Management Firm*

#### KANSAS

Chief Compliance Officer  
*Large Community Bank*

#### ILLINOIS

Associate Vice President - Senior Compliance Officer  
*Global Investment Firm*

Associate Vice President of Market Surveillance  
*International Investment Bank*

Director of Trading Compliance  
*Global Investment Bank*

Senior Compliance Manager  
*Proprietary Trading Firm*

Chief Compliance Officer  
*Investment Technology Firm*

Director of Compliance  
*Brokerage and Clearing Firm*

Deputy Chief Compliance Officer  
*Private Credit Firm*

#### MASSACHUSETTS

Head of Investment Management Compliance  
*Global Bank and Asset Manager*

Head of Ethics and Integrity  
*Global Pharmaceutical Company*

#### CONNECTICUT

Chief Compliance Officer and General Counsel  
*Quantitative Hedge Fund*

Chief Compliance Officer  
*Boutique Hedge Fund*

#### NEW YORK

Chief Compliance Officer  
*Large Commercial Bank*

Head of Financial Crimes Compliance  
*Global Bank Wealth Management*

Chief Compliance Officer  
*International Commercial Bank*

Head of Anti-Money Laundering Program Management  
*Global Bank*

Head of Client Onboarding  
*International Bank and Capital Markets*

Chief Compliance Officer  
*Boutique Investment Bank*

#### NEW JERSEY

Chief Compliance Officer and Counsel  
*Expert Networking and Research Firm*

#### WASHINGTON, D.C.

Head of Regulatory Affairs  
*International Bank and Asset Manager*

#### NORTH CAROLINA

Senior Compliance Associate  
*Hedge Fund*

#### GEORGIA

Senior Compliance Director  
*Biomedical Company*

#### OHIO

Senior Compliance Analyst  
*Insurance and Wealth Management Firm*

#### FLORIDA

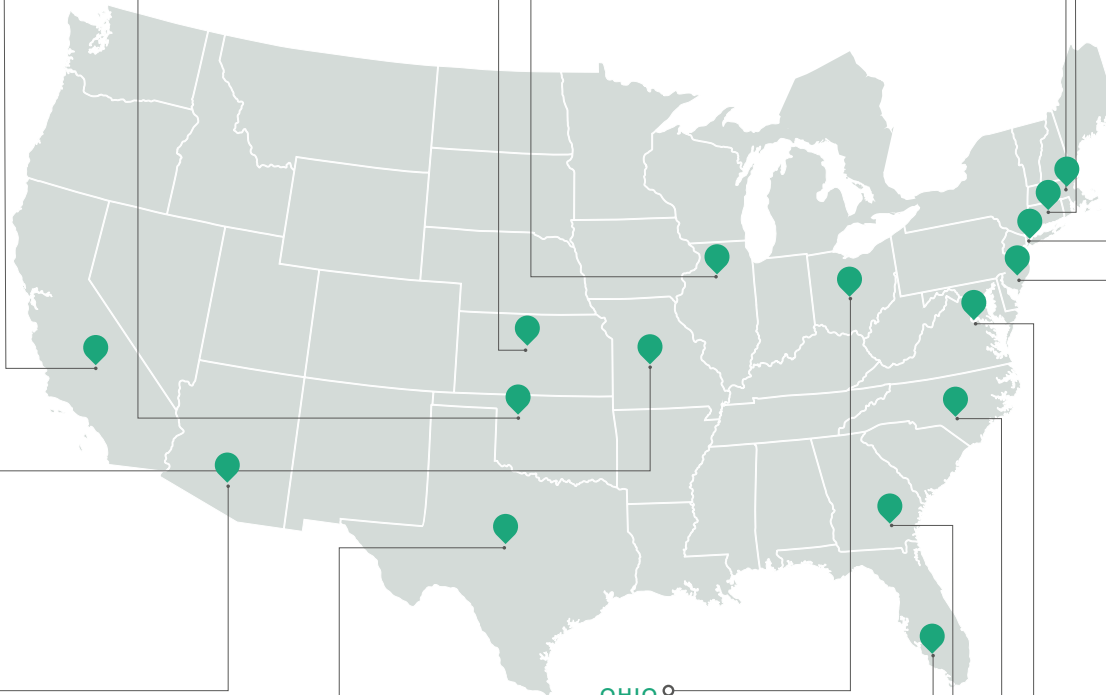
Chief Commercial Officer  
*Hedge Fund*

Chief Financial Officer  
*Hedge Fund*

Chief Operations Officer  
*Cryptocurrency Hedge Fund*

#### TEXAS

Chief Compliance Officer and Counsel  
*Energy and Commodities Investment Firm*



# Contact Larson Maddox

REQUEST A CALL BACK

SUBMIT A VACANCY

NEW OPPORTUNITIES

UPLOAD YOUR CV

 [info@larsonmaddox.com](mailto:info@larsonmaddox.com)

 [larsonmaddox.com](http://larsonmaddox.com)

 [Larson Maddox](#)

 [Phaidon\\_Int](#)