



SERVICES IN SUMMARY

Regulatory



Regulatory



As a leading regulatory and legal talent partner, we provide permanent, contract, and multi-hire talent solutions from our hubs all over the world. In addition to efficiently placing in-demand professionals, organizations that work with us benefit from our consultants' unparalleled expertise, whether you need support upskilling your workforce, streamlining your hiring processes, or salary and compensation benchmarking.

At Larson Maddox, we're committed to partnering with companies to ensure they secure high-level regulatory and legal professionals. We specialize in identifying high-caliber regulatory and compliance talent that protect consumers, clients, and companies alike. Our clients and candidates work tirelessly, keeping markets fair and transparent, business' reputations intact, and ensuring a culture of compliance remains at the forefront of the industry.

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Having worked with various search firms serving the legal and compliance industry, my consultant, and Larson Maddox as a firm, have been great to work with. My consultant was adept at serving our needs, while also being realistic, which is a special skill not all possess in this space. My consultant goes above and beyond with both their relationships for the best outcomes and ongoing advice.

Head of Compliance,
Global Asset Management Firm

KEY FOCUS AREAS

- ◆ Investment Management
- ◆ Asset Management
- ◆ Wealth Management
- ◆ Capital Markets
- ◆ Proprietary Trading
- ◆ Commercial Banking
- ◆ Investment Banking
- ◆ Insurance/Reinsurance
- ◆ Crypto & Digital Assets

COMPANY SPECIALISMS

- ◆ Hedge Funds, Investment Management Firms
- ◆ RIAs
- ◆ Private Equity, BDC, Private Credit, Venture Capital
- ◆ Investment Banks & M&A
- ◆ Broker Dealer & Wealth Management
- ◆ Consumer Banking and Lending
- ◆ Commercial Banking
- ◆ Fintech & Cryptocurrency
- ◆ REIT

ROLE EXPERTISE

- ◆ Chief Compliance Officer
- ◆ Head of Financial Crimes
- ◆ Advisory Compliance
- ◆ Compliance Testing & Monitoring
- ◆ Head of Payments Compliance
- ◆ Supervision Compliance
- ◆ Regulatory Affairs & Change Management
- ◆ Control Room Compliance
- ◆ Compliance Associate
- ◆ Surveillance Associate

Notable Placements

USA -

Regulatory



CALIFORNIA

Director of Compliance
Healthcare and Technology Company

Ethics and Compliance Senior Manager
Global Manufacturing Company

Head of Payments Compliance
Global Social Media and E-Commerce Platform

Chief Compliance Officer
Real Estate Private Equity Firm

Global Head of Tax

Chief Compliance Officer and Senior Counsel
Biotech Private Equity Firm

MISSOURI

Senior Compliance Officer
Boutique Investment Firm

Vice President of Investment Compliance and Accounting
Global Reinsurance Organization

ARIZONA

Deputy Chief Compliance Officer
National Wealth Management Firm

Head of Compliance
Insurance Firm

OKLAHOMA

Chief Compliance Officer
Wealth Management Firm

KANSAS

Chief Compliance Officer
Large Community Bank

ILLINOIS

Associate Vice President - Senior Compliance Officer
Global Investment Firm

Associate Vice President of Market Surveillance
International Investment Bank

Director of Trading Compliance
Global Investment Bank

Senior Compliance Manager
Proprietary Trading Firm

Chief Compliance Officer
Investment Technology Firm

Director of Compliance
Brokerage and Clearing Firm

Deputy Chief Compliance Officer
Private Credit Firm

MASSACHUSETTS

Head of Investment Management Compliance
Global Bank and Asset Manager

Head of Ethics and Integrity
Global Pharmaceutical Company

CONNECTICUT

Chief Compliance Officer and General Counsel
Quantitative Hedge Fund

Chief Compliance Officer
Boutique Hedge Fund

NEW YORK

Chief Compliance Officer
Large Commercial Bank

Head of Financial Crimes Compliance

Chief Compliance Officer
International Commercial Bank

Head of Anti-Money Laundering Program Management
Global Bank

Head of Client Onboarding
International Bank and Capital Markets

Chief Compliance Officer
Boutique Investment Bank

NEW JERSEY

Chief Compliance Officer and Counsel
Expert Networking and Research Firm

WASHINGTON, D.C.

Head of Regulatory Affairs
International Bank and Asset Manager

NORTH CAROLINA

Senior Compliance Associate
Hedge Fund

GEORGIA

Senior Compliance Director

OHIO

Senior Compliance Analyst
Insurance and Wealth Management Firm

FLORIDA

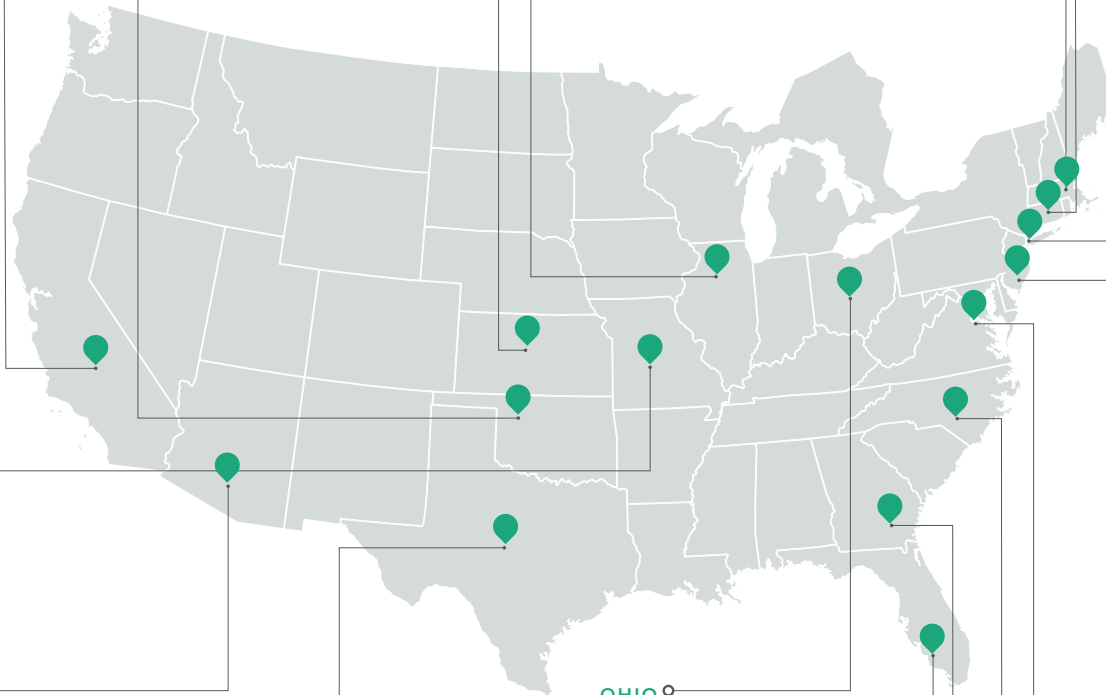
Chief Commercial Officer
Hedge Fund

Chief Financial Officer
Hedge Fund

Chief Operations Officer
Cryptocurrency Hedge Fund

TEXAS

Chief Compliance Officer and Counsel



Contact Larson Maddox

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NEW OPPORTUNITIES

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 larsonmaddox.com

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