



Chartered
Insurance
Institute

CII Financial
Assess

Reach new **heights**

Learning powered by professional expertise.

www.cii.co.uk/assess



Take your organisation's training and development to the next level

FinancialAssess is a powerful LMS, built by personal finance experts to support learning, compliance and continuous professional development. Using video, interactive scenarios and case-based learning, the platform tracks progress and inspires users to stay motivated and engaged.

FinancialAssess content is divided into intuitive catalogues on key aspects of the profession. Catalogues include Investment, Tax, Pensions and Retirement, Protection, Planning, Governance, Risk and Compliance, Health and Protection and more.

Get in touch to register for a 14-day free trial, attend an online demo or request a Content Guide at www.cii.co.uk/assess



Courses

There are training courses on Pensions and Retirement, Investment, Taxation and Protection for all employees at every level across your business, from new employees to experienced professionals and from specialists to support staff.

Content

With over 900 courses and 6,400 assessment questions, FinancialAssess covers the basic principles of Financial Services and regulation up to the more technical details of Personal Finance. Content is divided into a range of intuitive catalogues, each of which focuses on a key aspect of the profession.

Analytics

Analytics reports are delivered direct to your desktop, tablet or mobile to support training and competency requirements. FinancialAssess automatically records activity to help you build reports and evidence training and competency activities across your business.

Regulation and Compliance

Get the right training your organisation needs to keep up with regulations and compliance, including specific FCA Core CPD content.

Diagnostics and Testing

Diagnostics, gap analysis and assessments help you with your training, competency and compliance requirements.

Learning Management System (LMS)

Complete control over training and development, with features to help you manage training, schedule activities and monitor staff development.

Courses

FinancialAssess has over 600 courses for your business, from Pensions and Retirement, Investment, Taxation and Protection.

Regulation and Ethics

The Regulation and Ethics catalogue will guide you through the regulatory standards that apply to individuals and firms, helping your business develop a compliant culture. Learn what regulatory standards apply, who enforces them and how they are imposed. Courses also cover the ethical responsibility of individuals and firms, and managing an advisory business.

Specialist Areas

Choose from over 500 modules, of which more than 300 cover technical content around Pensions and Retirement, Investments, Taxation, Protection, Planning, and Health and Protection. FinancialAssess covers a range of specialist areas in the personal finance profession with courses created for all levels of seniority.

Making it Personal

Create and build your own e-learning courses with the inbuilt authoring tool, or top and tail FinancialAssess courses so they're designed for your business.

Business Skills

Help your staff build business skills and knowledge with a wide range of short courses from Sales and Project Management to Personal Development, HR and Health and Safety.

Courses

Use pre-built learning courses or create your own tailored to your business needs to build knowledge and understanding across individuals and teams.

Continuing Professional Development (CPD)

The inbuilt CPD tool automatically records completed activities on the system and allows users to record their non-FinancialAssess CPD activities too – so all records are in one place.

Regulation and Compliance

FinancialAssess courses are aimed at all levels in your business, right up to the board. With over 350 courses and videos on regulatory and compliance topics available to choose from, your employees will have access to the latest training.

Staying fresh with regulation has never been more critical. From FCA Core CPD to the Senior Managers & Certification Regime, FinancialAssess builds and checks knowledge across your organisation to stay ahead of regulatory demands.

With wide-ranging diagnostic capabilities, FinancialAssess evaluates knowledge and competency across your business.

FCA Core CPD (IDD)

The FCA requires organisations that are distributing insurance products, or are involved in their distribution, to complete sufficient CPD to maintain appropriate levels of knowledge and competence. Firms are responsible for ensuring that their employees continue to maintain these levels.

Our FCA Core CPD catalogues in FinancialAssess include content appropriate for many employees to support this. The content in these catalogues can be easily supplemented with our other technical content from the hundreds of courses available. All activity completed on FinancialAssess is automatically captured as CPD.

Senior Management Regime

As well as An Introduction to the Senior Managers and Certification Regime, we have courses on Senior Managers Arrangements, Systems and Controls, Senior Managers Regime and Certification Regime to ensure you're always up to date on SM&CR.

Financial Crime

Our Anti-Money Laundering and Countering Terrorist Financing for Financial Service, Fighting Fraud for Governance, Risk and Compliance, Bribery and Corruption for Governance, Risk and Compliance courses, and our Data Protection and GDPR courses address the more common areas of regulation.

Managing the Customer

Courses on Understanding Vulnerable Customers, Customer Experience, Complaint Handling, Conduct Risk and Treating Customers Fairly build the skills and competencies to help maintain professional standards across your business.

AI, GDPR and Sustainability

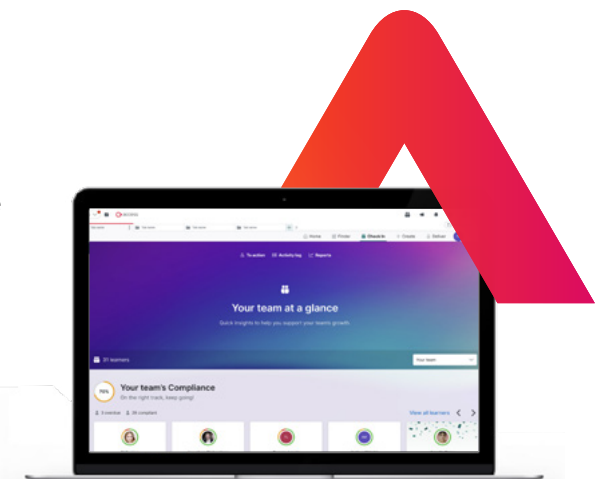
We're constantly adding to and updating our content to keep you ahead of the curve in these critical topics.

Conduct

Courses on Conduct Rules, Conflicts of Interest, Due Diligence and Whistleblowing and help maintain professional standards across your business.

Non-Financial Misconduct (NFM)

FinancialAssess has many courses that cover all aspects of NFM.



Diagnostics and Testing

Diagnostics, gap analysis and assessments – with over 6,400 questions to help meet all your compliance requirements.

Managing Risk Within Your Business

The integrated diagnostic tool enables you to understand knowledge levels across your business in real time. Using a diagnostic approach helps you test a broad set of knowledge areas, giving you critical insight into where learning needs to be focused. This approach ensures that staff only need to complete learning where they need to, which in turn, saves you time.

Gap Analysis

The diagnostic tool measures a user's knowledge against required competencies for their role. Learning courses are then automatically created for each user to help you manage and maintain knowledge across a wide area.

Micro Assessments

To help staff maintain regulatory and technical training each year, the diagnostic functionality supports micro assessments so you can explore multiple competency areas in one sitting.

Standard Assessments

For standard assessments, simply choose the course, the number of questions and the pass mark, for an assessment to be randomly created from an up-to-date question bank.

Analytics

Good quality reporting drives performance and success. Training activities are automatically recorded to build data reports so you can easily evidence training and competencies across your business.

Flexible Reporting

You can build your own reports or select from a range of pre-built reports. View activities in progress, course completions, assessments, test scores and results.

Dashboards

Detailed dashboards bring your training data to life, providing you with a clear view of user activities, completions and scores across teams and departments.

Robo Reporting

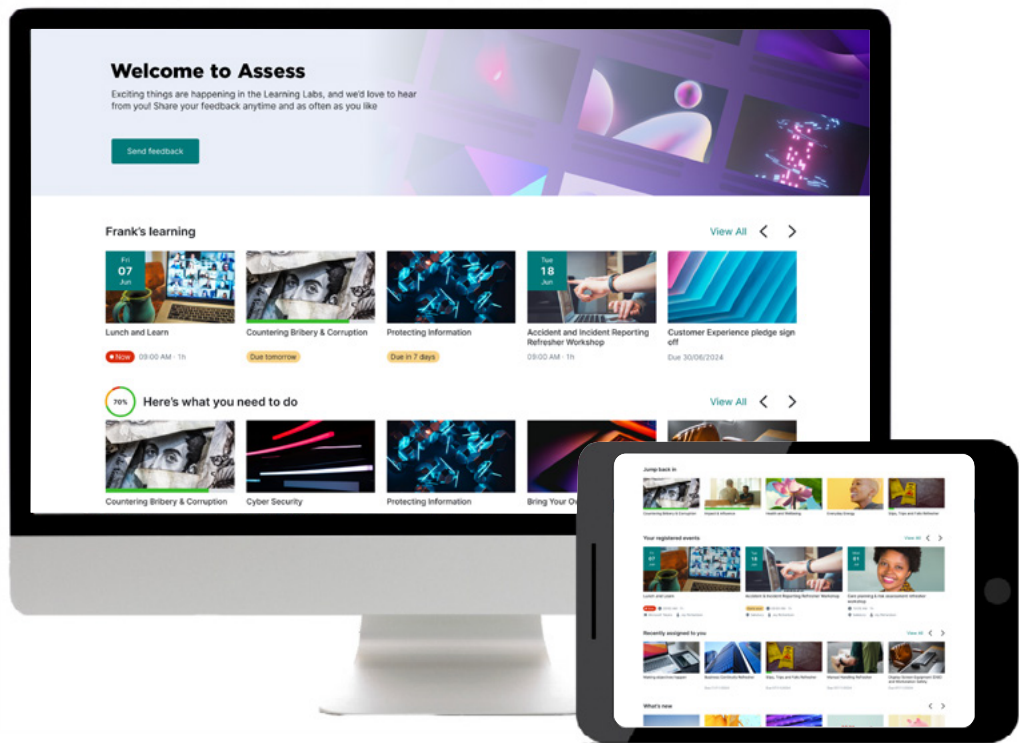
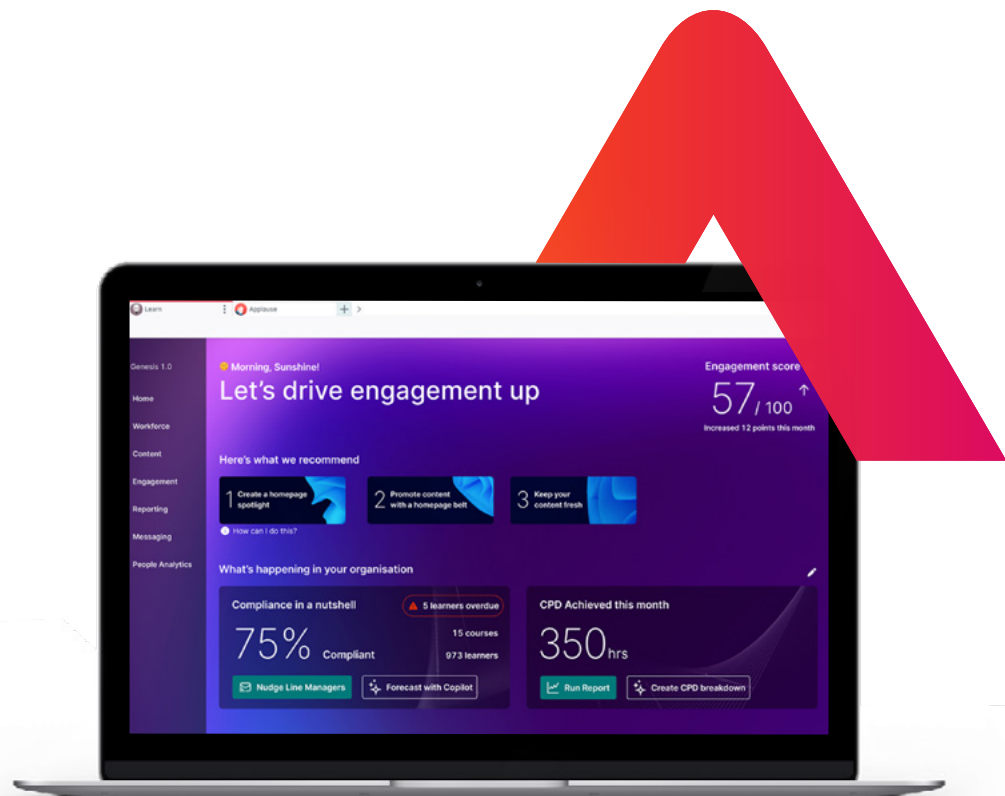
You can set up the automatic delivery of reports so they arrive when you need them.

CPD Reports

Users can complete development needs and reflective statements online. FinancialAssess automatically records each activity to help maintain CPD records and run reports. Users can also add offline CPD events to FinancialAssess and CII members can link their FinancialAssess record to their CII CPD record and maintain their activity in one place.

The Manager's View

Managers have access to their direct reports' progress on all allocated FinancialAssess activities by viewing the manager's dashboard from the homepage. This helps to ensure learning courses are completed.



Content

FinancialAssess helps raise professional capability with intuitive learning catalogues focused on the key disciplines of the profession. Discover the specialist areas covered.

FCA Core CPD (IDD)

FinancialAssess houses pre-built courses aimed at all staff distributing insurance products, or are involved in their distribution. These help demonstrate minimum levels of knowledge in specific core areas highlighted by the regulator. In addition, there are over 400 role-specific technical training modules to demonstrate product competency.

Governance, Risk and Compliance for Financial Services

Developed with personal finance professionals, this catalogue is designed to help your business develop a compliant culture and face governance, risk and compliance challenges with confidence.

Fundamentals includes AI and Sustainability, AI and Data Protection, Green Finance and ESG, Maintaining Information and Cyber Security, Managing Regulatory Reviews and Audits for Financial Service, Freedom of Information for Financial Service and Modern Slavery for Financial Service.

Regulation and Ethics

Understand how regulation and ethical behaviour underpin business practices and meet consumer needs. These modules consider regulatory standards that apply to organisations and individuals in the financial services profession. Other topics include Legal Entities, Legal Concepts, Consumer Needs the FCA Handbook, FCA Principles and Rules, Ethics, Advice Framework in Practice and Managing Advisory Business.

Investment

This catalogue explores the full range of investment products available from the perspectives of management, planning and advice. It looks at the products in detail, placing them in a useful context by establishing the economic environment around them and explaining the underlying principles.

Tax

These modules break down the world of tax into logical and manageable sections including Income Tax and National Insurance, Capital Taxes, Taxation of Investments, Trust Structures, Taxation of Trusts and Paying Tax.

Planning for UK Expatriates, UK Residence Rules, Estate Planning and Tax Planning are also included.

Pensions and Retirement

This catalogue covers the basics of Pensions Law and Regulation through to Defined Benefit Transfers and Defined Contribution. It also provides detailed information on workplace pensions and the associated requirements.

Topics include Context, Tax Rules, Pensions Law and Regulation, State Pensions, SIPP and SSAS, Drawing Benefits, Workplace Pensions and Retirement Planning, along with Defined Benefits and Defined Contributions.

Planning

Understand the six-stage financial planning process and how to create, implement and review financial plans with the tools and theories necessary to support the planning process. Fact-finding and analysis are also explored, as are more in-depth topics such as Equity Release, Long-Term Care and Providing the Cost of Education.

Protection

This catalogue looks at the broad range of protection products that are available, including Life Insurance and Relevant Life Policies, Critical Illness Policies, Income Protection Policies and more. Each one is explained in detail, with additional information on underwriting and claims issues.

Topics covered include State Benefits, Long-term Care Insurance, Group Insurance Policies, Protection Planning and other health related insurance.

Health and Protection

This catalogue covers a broad range of healthcare and protection insurance products such as Life Assurance, Critical Illness, Long-term Care, Private Medical, Income Protection, Health Cash Plans, Dental Plans, Business Protection and more. Most products are reviewed from a broking, underwriting and claims perspective.

Workplace Skills

These short courses cover Communication and Social Skills, Leadership and Management, Personal Development, Teamwork and Customer Experience, HR Health, Safety and Wellbeing.

Pre-Set Courses

Collection of pre-set learning courses containing content from our six technical catalogues. Each course contains an end of course assessment to test a user's knowledge and understanding of the topic. Subjects covered include Regulation, Investment, Tax, Pensions, Protection and Planning.

Monthly and Special Roundups

Receive the latest market changes in regulation, investments, tax and pensions each month. Read the monthly round up or quarterly Special Roundup and record up to 1 hour of CPD.

CII Qualifications Revision

We have included our E-learn revision content for: CF1, CF6, R01, R02, R03, R04, R05, R06, LF1, LP1, LP2 and LP3.

Mental Health and Wellbeing

Over 40 courses covering topics from Mental Health Awareness, Building Resilience, Wellbeing, Managing Emotions and Better Decision Making.

Health and Safety

Over 90 courses for all businesses to maintain compliance with the law and to protect the workforce.

Financial Case Studies

These five case studies take an in-depth look at a range of specific financial issues and scenarios. The focus here is on applying learning in practical, real-world situations.

Content Guide

The online content guide details every module with the number of assessment questions and the relevant CPD time.



Learning Management System (LMS)

FinancialAssess gives your organisation access to your own LMS. The powerful platform is packed with features to help you allocate, manage, record and report on all the learning completed on the system. You can also record CPD, schedule activities and monitor staff activity.

Easy Online Access

FinancialAssess is an online training and competency solution enabling easy access 24/7. Everyone with a licence can log into the system via a PC, tablet or mobile phone. There is no installation required, just login to start training – it's so easy.

Customisation and Personalisation

You can customise the standard FinancialAssess site to include your own company logo or create a bespoke site for your business. The inbuilt authoring tool allows you to build e-learning activities and training to suit your requirements.

Easy Admin

FinancialAssess is automated to simplify administration. For example, you can arrange your reports to be sent to you automatically at a set time or day.

Manager Controls

Managers can easily monitor training activity across their teams and view staff progression on the 'manager view' dashboard. With managers involved in the training management process you can maximise all the benefits of FinancialAssess for your business.

Set Up

If you have a sizeable company with several locations, departments, or teams, it is easy to replicate that hierarchical structure on FinancialAssess.

You can create learning courses and allocate to specific groups to meet their learning and development needs. You can report on an individual's progress or look at specific departments or teams to see if there are any learning areas that need to be addressed.



Developed by leading professionals, FinancialAssess is a powerful LMS delivering expert-led learning content to encourage compliance and continuous professional development throughout your organisation.

For more information on how FinancialAssess can benefit your business, contact business.enquiries@cii.co.uk

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Email business.enquiries@cii.co.uk

 Chartered Insurance Institute

 @CIIGroup

Chartered Insurance Institute
1st Floor, 30 Old Broad Street
London, EC2N 1HT

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