

Stocks and Shares ISA Transfer Authority Form



Request to transfer stocks and shares ISA funds to The Cambridge Building Society

PLEASE USE BLOCK CAPITALS TO COMPLETE THIS FORM. ALL FIELDS WITH * ARE REQUIRED

- Please return this form, with a completed cash ISA application form (if applicable), to one of our stores or send it to FREEPOST, THE CAMBRIDGE BUILDING SOCIETY (please use capital letters and there's no need to use a stamp)
- We'll send this form to your current provider to initiate the transfer
- If you have any queries, please call us on 0345 601 3344 and a member of our team will be happy to help
- If you need additional information or are unsure about transferring your stocks and shares ISA funds we recommend that you seek independent financial advice
- To transfer a cash ISA to The Cambridge Building Society you must complete a Cash ISA Transfer Authority Form

Applicant Details

Title *

Forename(s) *

Surname *

Date of birth *

National Insurance number *

Address *

Postcode *

Contact tel no. *

Email address *

Information about the ISA you want to transfer

Existing ISA provider *

ISA provider address *

ISA provider sort code *

ISA provider account number *

Stocks and shares ISA number *

Important notes

As well as being subject to the terms and conditions of the cash ISA receiving the transfer funds, the transfer will be subject to the terms and conditions that apply to your current stocks and shares ISA. For example:

- Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer
- There may be outstanding fees to pay before the transfer goes ahead
- Your existing ISA provider may need to contact you if they need further information

If you are unsure which conditions apply, please check with your existing stocks and shares ISA provider before completing this form.

1. Have you subscribed to your stocks and shares ISA in the current tax year? Yes No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole to an account with The Cambridge, and not in part.

2. Do you want to transfer your current year's subscriptions only? Yes No

(This will mean selling any investments made with the subscription from the current tax year)

If you choose 'Yes' please go directly to **question 5**.

3. Do you want to transfer all or part of your ISA? All Part

If you choose 'All' this means all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held, will be transferred to your new provider in full. Please go directly to the **Transfer Authority** section.

4. If you wish to transfer part of your ISA please select one of the following:

I want to transfer all of my subscriptions from previous tax years

I want to transfer some of my subscriptions from previous tax years

I want to transfer some of my subscriptions from previous tax years and the current year's subscriptions

5. Stocks, Shares and / or Funds that you wish to sell and transfer

Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form. You may be contacted by your existing ISA provider to agree what to sell.

Please use the space below to give instructions to your current stocks and shares ISA provider about what specific value or tax year(s) you would like to transfer and/or what investments should be realised to meet the transfer.

Important notes continued

Please list all investments that you hold with your current ISA provider that you wish to sell and transfer. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc (if known)	Number or Value of Stocks, Shares, Units or specify "All" to sell the entire holding

6. Uninvested cash to be transferred

Please state how much of your uninvested cash balance in your stocks and shares ISA you want to transfer

£

If you want to transfer all of your uninvested cash balance, *tick here*

Transfer Authority

I authorise my existing ISA provider as specified in this form to sell investments and transfer the ISA in cash to The Cambridge Building Society.

I authorise my existing ISA provider to provide The Cambridge with any information, written or nonwritten, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer; **or**

Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income or capital and any charges that may be applied.

Signed

Date

THE CAMBRIDGE BUILDING SOCIETY WILL COMPLETE THE REMAINING SECTIONS

Transfer Acceptance

The Cambridge is willing to accept this ISA transfer in line with the customer's instructions given in this form, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only
- We must receive all the transfer proceeds no later than
- Where the customer has requested to transfer current year subscriptions these must not be more than the annual ISA allowance
- We do not accept partial transfers of current years subscriptions
- We do not accept residual income payments

For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown below will be the transfer date.

Date

Name of new ISA provider: **The Cambridge Building Society**

Transfer Details

ISA transfer to be credited to account number

Store name

Date request letter sent

Date funds received

Team Member name

Head Office Administration Centre

51 Newmarket Road, Cambridge CB5 8EG
thecambridge@cambridgebs.co.uk 0345 601 3344

cambridgebs.co.uk

The Cambridge Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Registration Number 157223
www.fca.org.uk/register